

## **China Everbright Limited**

### **Anti-money Laundering and Counter Financing of Terrorism Policy**

China Everbright Limited (“CEL”) is a listed company in Hong Kong and is required to comply fully with any applicable ordinances, rules, regulations, codes and guidance notes.

In order to ensure that all the staff of CEL are abided by the relevant laws and regulations relating to the prevention of terrorist financing and money laundering activities (outlined below), Anti-money Laundering and Counter Financing of Terrorism Policy (“Policy”) sets forth the applicable principles and procedures for CEL to prevent money laundering and terrorist financing, financing of proliferation of weapons of mass destruction (PF) and financial sanctions activities.

- (a) The DTRPO;
- (b) The OSCO;
- (c) The UNATMO;
- (d) The AMLO and
- (e) The AMLGN
- (f) The UNSO
- (g) The WMD(CPS)O

In summary, the Policy was formulated with the following considerations:

- (i) CEL should make reasonable efforts to determine client’s true identity and have effective procedures to determine and verify the customer’s true identity;
- (ii) Senior Management of CEL should ensure that business is conducted in conformity with high legal, regulatory and ethical standards so that services are not provided where there is a good reason to suspect that money laundering or terrorist financing activities are involved;
- (iii) Subject to customer confidentiality, CEL will co-operate with law enforcement agencies and implement appropriate measures which are consistent with legal and regulatory requirements; and
- (iv) Appropriate and adequate training on AML matters will be provided on regular basis.

All staff is required to familiarize themselves with the content of the Policy and adhere to the rules and procedures set out in this Policy.